

## Chapter 1

## GENERAL REQUIREMENTS

1-1. **Purpose.** This chapter provides guidelines for ensuring mothers, infants and family members receive the necessary supports to prevent the negative outcomes associated with an infant's prenatal exposure to substance use. A Plan of Safe Care must be developed, implemented and monitored for infants (under one year old) who have been affected by exposure to controlled substances or alcohol. Controlled substances include both prescription drugs not prescribed for the parent or not administered as prescribed (e.g., abuse of medication prescribed for pain management or not following medication assisted treatment protocols, etc.).

a. **A Plan of Safe Care is not the equivalent of a safety plan. A Plan of Safe Care may identify child safety and risk issues within the family but a safety plan is the only vehicle for implementing specific protective actions.** A *Plan of Safe Care* is intended to facilitate a holistic, multi-disciplinary approach to responding to the needs of the entire family. A *Plan of Safe Care* is intended to be developed at the earliest point the mother's use or infant's exposure have been identified.

b. At the point of the child welfare professional's contact with the family, a *Plan of Safe Care* may already have been developed by medical personnel, behavioral health specialists, or home visitor staff (e.g., *Healthy Start, Healthy Families*, etc.) who regularly interact with the mother prior to, or soon after, the birth of the infant. It is the child welfare professional's responsibility to determine if a *Plan of Safe Care* had previously been offered to the mother and, if not, re-assess the need for a plan to be implemented and monitored.

1-2. **Legal Authority.** The Child Abuse Prevention and Treatment Act (CAPTA) Reauthorization (2010) and P.L. 114-198, Comprehensive Addiction and Recovery Act of 2016, Title V, Section 503, makes several changes to CAPTA:

a. Expands exposure criteria beyond "illegal substances" to include alcohol and prescribed medications

b. Requires that the Plan of Safe Care address the needs of both the infant and the affected family or caregiver

c. Requires development of policies and procedures to address the needs of infants identified as being affected by substance use or withdrawal symptoms

d. Requires health care providers involved in the delivery and care of substance affected infants to notify the child protective services system

1-3. **Reporting and Notification Requirements.**

a. Section [383.14](#), Florida Statutes (F.S.), requires the attending health care provider (e.g., hospital, Perinatal and Birthing Centers, etc.) to identify and refer all infants prenatally exposed to controlled substances and alcohol for early intervention, remediation and prevention services. For the purposes of this operating procedure, identification of use/abuse of alcohol and/or illegal substances shall be determined as follows:

(1) Mother's admission of use (in-person interview or screening question).

(2) A positive drug screen (prenatal or at birth).

(3) Medical staff assessment.

b. Section [39.201](#), F.S., requires mandatory reporting when any individual suspects that a child is being maltreated. Harm from exposure to a controlled substance or alcohol is defined in s. [39.01\(35\)\(g\)](#), F.S., as:

(1) A test administered at birth to an infant which indicates exposure of any amount of alcohol or a controlled substance or metabolites of such substances, the presence of which was not the result of medical treatment administered to the mother or the newborn infant; or

(2) Evidence of extensive, abusive and chronic use of a controlled substance or alcohol by a parent to the extent that the parent's ability to provide supervision and care for the child has been or is likely to be severely compromised.

1-4. Plan of Safe Care Components. To determine the appropriate intervention efforts needed to assist with maternal entry or retention in treatment (i.e., substance abuse or mental health services), enhancement of child well-being, and the development of family skills to facilitate healthier lifestyles, child welfare professionals shall obtain and assess the following information:

a. Mother's Substance Use and Mental Health Needs.

- (1) Substance use history.
- (2) Mental health history.
- (3) Treatment history.
- (4) Medication assisted treatment history.
- (5) Referrals for services.

b. Infant's Medical Care.

- (1) Prenatal exposure history.
- (2) Hospital care (NICU), length of stay, diagnosis.
- (3) Other medical or developmental concerns.
- (4) Pediatric care and follow-up.
- (5) Referral to Early Intervention and other services.

c. Mother's Medical Care.

- (1) Prenatal care history.
- (2) Pregnancy history.
- (3) Other medical concerns.
- (4) Screening and education.
- (5) Follow-up care with OB-GYN.

(6) Referral to other health care services.

d. Family/Caregiver History and Needs.

- (1) Prior involvement with child welfare.
- (2) Child safety or risk concerns.
- (3) Parent-child relationship.
- (4) Family history.
- (5) Living arrangements.
- (6) Current support network.
- (7) Current services.
- (8) Needed supports/services.

1-5. Child Protective Investigations. If at any point during an investigation the child protective investigator (CPI) learns that an infant has been exposed prenatally to controlled substances or alcohol, the CPI shall:

a. Ask the mother if she was provided a *Plan of Safe Care* and encouraged to participate in a home visiting program (e.g., Healthy Start or Healthy Families, etc.). For children determined to be "Safe", the CPI shall encourage the parent(s) to participate in a home visiting program (e.g., *Healthy Start* or *Healthy Families*, etc.) to assess the need for, and implementation of, a *Plan of Safe Care*.

b. If a *Plan of Safe Care* was developed, the CPI shall contact the family's worker and confirm that a plan is in place, inquire about the family's level of engagement, and ask if there are any unmet needs that are not currently being addressed by the plan components.

c. Assess for the possibility of developmental delays in the infant and, if a delay is suspected, refer the parent to a local child developmental screening program.

d. For children determined to be "Unsafe," the CPI shall contact medical and treatment personnel and attempt to obtain information on the immediate medical, placement and treatment needs of the infant and mother. The components of the *Plan of Safe Care* (paragraph 1-4 of this operating procedure) shall be incorporated and addressed in the Family Functioning Assessment – Investigation and be discussed at the case transfer staffing.

1-6. Case Management Services.

a. For infants coming into care involving prenatal exposure to a controlled substance or alcohol, the case manager shall determine if there is an existing *Plan of Safe Care* in place.

(1) If a plan has been implemented, the case manager shall determine its effectiveness in meeting the needs of the mother, infant and other family members. If the plan has not been effective, the case manager shall work with the family to identify the challenges or barriers that have been problematic.

(a) The case manager shall contact the family's worker and confirm that a plan is in place, inquire about the level of engagement, and ask if there are any unmet needs or concerns that are not currently being addressed by the plan components.

(b) The case manager shall continue to monitor any existing *Plan of Safe Care* to resolve any unmet needs, concerns or engagement issues. The family's progress and efficacy of the *Plan of Safe Care* shall be documented in the FFA-Ongoing or progress updates.

(2) If a *Plan of Safe Care* has not previously been developed, the case manager shall ensure that all plan components (see paragraph 1-4 of this operating procedure) are discussed in the FFA-Ongoing or Progress Update and include all essential unmet elements into the initial case plan as appropriate.

b. As part of a pre-birth assessment in an existing case, the case manager shall attempt to identify any prenatal drug use (controlled substances or alcohol) and ensure that, based on family needs, all necessary components of a *Plan of Safe Care* are addressed by the existing case plan. The case plan shall be modified to address any unmet needs that have been identified.

#### 1-7. FSFN Documentation.

a. CPIs shall document the following activities including, but not limited to:

(1) If not an alleged maltreatment on the intake, the CPI shall add the Substance-Exposed Newborn maltreatment to all investigations in which credible evidence (but not necessarily a preponderance) supports that an infant was prenatally exposed to a controlled substance or alcohol.

(2) The submission of a referral to any home visiting program or other family support service to develop a *Plan of Safe Care* when prenatal exposure to a controlled substance or alcohol has been identified.

(3) Recommendations for immediate medical, treatment and placement needs identified by medical and treatment personnel for both mother and infant when a child has been determined to be "Unsafe."

b. Case managers shall document the following activities including, but not limited to:

(1) Review of pre-birth assessments when newborns are added to the household in existing cases to ensure relevant components of a *Plan of Safe Care* are being addressed or have been addressed by the existing case plan.

(2) Review of *Plan of Safe Care* components to ensure that all identified needs for the mother and infant are met by the initial case plan.

